# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

(11) International Publication Number:

WO 92/21196

H04L 27/30

A1

(43) International Publication Date:

26 November 1992 (26.11.92)

(21) International Application Number:

PCT/US92/04161

(22) International Filing Date:

17 May 1992 (17.05.92)

(30) Priority data:

702,029

17 May 1991 (17.05.91)

US

(71) Applicant: QUALCOMM INCORPORATED [US/US]; 10555 Sorrento Valley Road, San Diego, CA 92121 (US).

(72) Inventors: GILHOUSEN, Klein, S.; 6474 Jackson Creek Road, Bozeman, MT 59715 (US). PADOVANI, Roberto ; 12634 Futura Street, San Diego, CA 92130 (US). WHEATLEY, Charles, E., III; 2208 Caminito del Barco, Del Mar, CA 92104 (US). WEAVER, Lindsay, A., Jr.; 3419 Tony Drive, San Diego, CA 92122 (US). BLAKENEY, Robert, D., II; 4171 Worsch Way, San Diego, CA 92130 (US). (74) Agent: MILLER, Russell, B.; Qualcomm Incorporated, 10555 Sorrento Valley Road, San Diego, CA 92121 (US).

(81) Designated States: AT (European patent), AU, BE (European patent), BG, BR, CA, CH (European patent), CS, DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LU (European patent), MC (European patent), NL (European patent), NO, PL, RO, RU, SE (European patent).

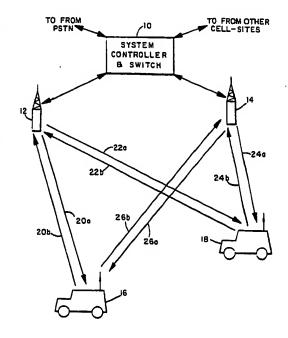
#### Published

With international search report.

(54) Title: METHOD AND APPARATUS FOR CONTROLLING TRANSMISSION POWER IN A CDMA CELLULAR MOBILE TELEPHONE SYSTEM

#### (57) Abstract

A power control system for a cellular mobile telephone system in which system users communicate information signals between one another via at least one cell-site using code division multiple access spread spectrum communication signals. The power control system controls transmission signal power for each cellular mobile telephone (16, 18) in the cellular mobile telephone system wherein each cellular mobile telephone (16, 18) has an antenna, transmitter and receiver and each cell-site (12, 14) also has an antenna, transmitter and receiver. Cell-site transmitted signal power is measured as received at the mobile unit. Transmitter power is adjusted at the mobile unit in an opposite manner with respect to increases and decreases in received signal power. A power control feedback scheme may also be utilized. At the cell-site communicating with the mobile unit, the mobile unit transmitted power is measured as received at the cell-site. A command signal is generated at the cell-site and transmitted to the mobile unit for further adjusting mobile unit transmitter power corresponding to deviations in the cell-site received signal power. The feedback scheme is used to further adjust the mobile unit transmitter power corresponding to deviations in the cell-site received signal power. The feedback scheme is used to further adjust the mobile unit transmitter power so that mobile unit transmitted signals arrive at the cell-site at a desired power level. In a cell diversity situation, the mobile units transmitter power is adjusted to prevent unnecessary increases in mobile unit transmitter power level.



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

ΓA	Austria	FI	Finland	ML	Mali
AU	Australia	FR	France	MN	Mongolia
BB B	Barbados	GA	Ciabon	MR	Mauritania
BE.		GB	United Kingdom	MW	Malawi
	Belgrum	GN	Gulnea	NI.	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria			PL	Poland
BJ	Benin	HU	Hungary	RO	Romania
BR	Brazil	1B	Ireland	RU	Russian Federation
CA	Canada	iT	Italy	SD	Sudan
CF	Central African Republic	JP	Japan		<del></del>
CC	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		of Korea	SN	Senegal
CI	Côte d'Isone	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	ㅂ	Liechtenstein	TD	Chad
		LK	Sri Lanka	TG	Tugo
C2.	Czechoslovakia	LU	Luxembourg	us	United States of America
DE	Cierniany		Monaco		
DK	Denmark	MC			
ES	Spain	MC	Madagascar		

15

35

1

# METHOD AND APPARATUS FOR CONTROLLING TRANSMISSION POWER IN A CDMA CELLULAR MOBILE TELEPHONE SYSTEM

# BACKGROUND OF THE INVENTION

### I. Field of the Invention

The present application relates communication systems. More specifically, the present invention relates to a novel and improved method and apparatus for controlling transmitter power in a code division multiple access (CDMA) cellular mobile telephone system.

# II. Description of the Related Art

The use of code division multiple access (CDMA) modulation techniques is one of several techniques for facilitating communications in which a large number of system users are present. Although other techniques such as time division multiple access (TDMA) frequency division multiple access (FDMA) and AM modulation schemes such as amplitude companded single sideband (ACSSB) are known, CDMA has significant advantages over these other techniques. The use of CDMA 20 techniques in a multiple access communication system is disclosed in U.S. Patent Application Serial No. 06/921,261, filed October 17, 1986, entitled "SPREAD SPECTRUM MULTIPLE ACCESS COMMUNICATION SYSTEM USING SATELLITE OR TERRESTRIAL REPEATERS", now 2.5 U.S. Patent No. 4,901,307 assigned to the assignee of the present invention, the disclosure thereof incorporated by reference.

In the just mentioned patent, a multiple access technique is disclosed where a large number of mobile telephone system users each having a transceiver communicate through satellite repeaters or terrestrial base stations (also known as cell-sites stations, or for short cell-sites) using code division multiple access (CDMA) spread spectrum communication signals. In using CDMA communications, the frequency spectrum can be reused multiple times thus permitting an increase in system user capacity. The use of CDMA results in a much higher spectral efficiency than can be achieved using other multiple access techniques. In a CDMA system,

2

increases in system capacity may be realized by controlling the transmitter power of each mobile user so as to reduce interference to other system users.

In the satellite application of the CDMA communication techniques, the mobile unit transceiver measures the power level of a signal received via a satellite repeater. Using this power measurement, along with knowledge of the satellite transponder downlink transmit power level and the sensitivity of the mobile unit receiver, the mobile unit transceiver can estimate the path loss of the channel between the mobile unit and the satellite. The mobile unit transceiver then determines the appropriate transmitter power to be used for signal transmissions between the mobile unit and the satellite, taking into account the path loss measurement, the transmitted data rate and the satellite receiver sensitivity.

10

25

30

35

The signals transmitted by the mobile unit to the satellite are relayed by the satellite to a Hub control system earth station. The Hub measures the received signal power from signals transmitted by each active mobile unit transceiver. The Hub then determines the deviation in the received power level from that which is necessary to maintain the desired communications. Preferably the desired power level is a minimum power level necessary to maintain quality communications so as to result in a reduction in system interference.

The Hub then transmits a power control command signal to each mobile user so as to adjust or "fine tune" the transmit power of the mobile unit. This command signal is used by the mobile unit to change the transmit power level closer to a minimum level required to maintain the desired communications. As channel conditions change, typically due to motion of the mobile unit, both the mobile unit receiver power measurement and the power control feedback from the Hub continually readjust the transmit power level so as to maintain a proper power level. The power control feedback from the Hub is generally quite slow due to round trip delays through the satellite requiring approximately 1/2 of a second of propagation time.

One important difference between satellite or terrestrial base stations systems are the relative distances separating the mobile units and

5

10

15

20

25

30

3

the satellite or cell-site. Another important different in the satellite versus the terrestrial system is the type of fading that occurs in these channels. Thus, these differences require various refinements in the approach to system power control for the terrestrial system.

In the satellite/mobile unit channel, i.e. the satellite channel, the satellite repeaters are normally located in a geosynchronous earth orbit. As such, the mobile units are all at approximately the same distance from the satellite repeaters and therefore experience nearly the same propagation loss. Furthermore, the satellite channel has a propagation loss characteristic that follows approximately the inverse square law, i.e. the propagation loss is inversely proportional to the square of the distance between the mobile unit and the satellite repeater in use. Accordingly, in the satellite channel the variation in path loss due to distance variation is typically on the order of only 1-2 dB.

In contrast to the satellite channel, the terrestrial/mobile unit channel, i.e. the terrestrial channel, the distance between the mobile units and the cell sites can vary considerably. For example, one mobile unit may be located at a distance of five miles from the cell site while another mobile unit may be located only a few feet away. The variation in distance may exceed a factor of one hundred to one. The terrestrial channel experiences a propagation loss characteristic as did the satellite channel. However, in the terrestrial channel the propagation loss characteristic corresponds to an inverse fourth-power law, i.e. the path loss is proportional to the inverse of the path distance raised to the fourth power. Accordingly, path loss variations may be encountered which are on the order of over 80 dB in a cell having a radius of five miles.

The satellite channel typically experiences fading that is characterized as Rician. Accordingly the received signal consists of a direct component summed with a multiply reflected component having Rayleigh fading statistics. The power ratio between the direct and reflected component is typically on the order of 6-10 dB, depending upon the characteristics of the mobile unit antenna and the environment about the mobile unit.

5

15

20

25

4

Contrasting the satellite channel with the terrestrial channel, the terrestrial channel experiences signal fading that typically consists of the Rayleigh faded component without a direct component. Thus, the terrestrial channel presents a more severe fading environment than the satellite channel where Rician fading is the dominant fading characteristic.

The Rayleigh fading characteristics in the terrestrial channel signal is caused by the signal being reflected from many different features of the physical environment. As a result, a signal arrives almost simultaneously at a mobile unit receiver from many directions with different transmission delays. At the UHF frequency bands usually employed for mobile radio communications, including those of cellular mobile telephone systems, significant phase differences in signals traveling on different paths may occur. The possibility for destructive summation of the signals may result, with on occasion deep fades occurring.

Terrestrial channel fading is a very strong function of the physical position of the mobile unit. A small change in position of the mobile unit changes the physical delays of all the signal propagation paths, which further results in a different phase for each path. Thus, the motion of the mobile unit through the environment can result in a quite rapid fading process. For Example, in the 850 MHz cellular radio frequency band, this fading can typically be fast as one fade per second per mile per hour of vehicle speed. Fading on this order can be extremely disruptive to signals in the terrestrial channel resulting in poor communication quality. However, additional transmitter power can be used to overcome the problem of fading.

The terrestrial cellular mobile telephone system typically requires a full-duplex channel to be provided in order to allow both directions of the telephone conversation to be simultaneously active such as provided by the conventional wired telephone system. This full-duplex radio channel is normally provided by using one frequency band for the outbound link, i.e. transmissions from the cell-site transmitter to the mobile unit receivers. A different frequency band is utilized for the inbound link, i.e. transmissions from the mobile unit transmitters to the cell-site receivers. According, this frequency band separation allows a mobile unit transmitter and receiver to be active simultaneously without feedback or

5

interference from the transmitter into the receiver.

10

25

30

The use of different frequency bands has significant implications in the power control of the cell-site and mobile unit transmitters. Use of different frequency bands causes the multipath fading to be independent processes for the inbound and outbound channels. A mobile unit cannot simply measure the outbound channel path loss and assume that the same path loss is present on the inbound channel. One technique related to the present invention for controlling transmitter power in the terrestrial environment is disclosed in U.S. Patent No. 5,056,109 issued October 8, 1991 entitled "METHOD AND SYSTEM FOR PROVIDING A SOFT HANDOFF IN COMMUNICATIONS IN A CDMA CELLULAR TELEPHONE SYSTEM", the disclosure of which is incorporated by reference.

Furthermore, in the terrestrial cellular mobile telephone the mobile phone is capable of communications through multiple cell-sites as disclosed in U.S. Patent No. 5,101,501 issued March 31, 1992, entitled "METHOD AND SYSTEM FOR PROVIDING A SOFT HANDOFF IN COMMUNICATIONS IN A CDMA CELLULAR TELEPHONE SYSTEM", the disclosure of which is incorporated by reference. In communications with multiple cell-sites the mobile unit and cell-sites include a multiple receiver scheme as disclosed in the just mentioned patent and further detailed in U.S. Patent No. 5,109,390 issued April 28, 1992, entitled "DIVERSITY RECEIVER IN A CDMA CELLULAR TELEPHONE SYSTEM", the disclosure of which is also incorporated by reference.

In the cell diversity environment in which the mobile phone is communicating with another user through multiple cell-sites, mobile phone transmitter power must also be controlled so as to avoid adverse interference with other communications in all cells.

It is therefore, an object of the present invention to provide a novel and improved method and apparatus for controlling transmitter power in the terrestrial channel in a cell diversity environment so as to overcome deleterious fading without causing unnecessary system interference which can adversely affect overall system capacity.

•

5

15

20

25

30

35

### SUMMARY OF THE INVENTION

In a terrestrial CDMA cellular mobile telephone system, it is desirable that the transmitter power of the mobile units be controlled so as to produce at the cell site receiver a nominal received signal power from each and every mobile unit transmitter operating within the cell. Should all of the mobile unit transmitters within an area of coverage of the cell-site have transmitter power controlled accordingly the total signal power received at the cell-site would be equal to the nominal receiver power of the mobile unit transmitted signal multiplied by the number of mobile units transmitting within the cell. To this is added the noise power received at the cell-site from mobile units in adjacent cells.

The CDMA receivers of the cell-site respectively operate by converting a wideband CDMA signal from a corresponding one of the mobile unit transmitters into a narrowband digital information carrying signal. At the same time, other received CDMA signals that are not selected remain as wideband noise signals. The bit-error-rate performance of the cell-site receiver is thus determined by the ratio of the power of the desired signal to that of the undesired signals received at the cell-site, i.e., the received signal power in the desired signal transmitted by the selected mobile unit transmitter to that of the received signal power in undesired signals transmitted by the other mobile unit transmitters. The bandwidth reduction processing, a correlation process which results in what is commonly called "processing gain", increases the signal to noise interference ratio from a negative value to a positive value thus allowing operation within an acceptable bit-error-rate.

In a terrestrial CDMA cellular mobile telephone system it is extremely desirable to maximize the capacity in terms of the number of simultaneous telephone calls that may be handled in a given system bandwidth. System capacity can be maximized if the transmitter power of each mobile unit is controlled such that the transmitted signal arrives at the cell-site receive at the minimal signal to noise interference ratio which allows acceptable data recovery. If a signal transmitted by a mobile unit arrives at the cell-site receiver at a power level that is too low, the bit-error-rate may be too high to permit high quality communications. On

7

the other hand if the mobile unit transmitted signal is at a power level that is too high when received at the cell-site receiver, communication with this particular mobile unit will be acceptable. However, this high power signal acts as interference to other mobile unit transmitted signals that are sharing the same channel, i.e. bandwidth. This interference may adversely affect communications with other mobile units unless the total number of communicating mobile units is reduced.

5

10

15

20

25

30

35

The path loss of signals in the UHF frequency band of the cellular mobile telephone channel can be characterized by two separate phenomena, average path loss and fading. The average path loss can be described statistically by a log-normal distribution whose mean is proportional to the inverse fourth-power of the path distance, and whose standard deviation is approximately equal to 8 dB. phenomena is a fading process caused by multipath propagation of the signals which is characterized by a Rayleigh distribution. The average path loss, which is a log-normal distribution, can be considered to be the same for both the inbound and outbound frequency bands, as is for the conventional cellular mobile telephone systems. However, as mentioned previously Rayleigh fading is an independent phenomena for the inbound and outbound link frequency bands. The log-normal distribution of the average path loss is a relatively slow varying function of position. In contrast, the Rayleigh distribution varies relatively fast as a function of position.

In the present invention, a CDMA approach to multiple user access in a cellular mobile telephone system is implemented. In such a system all the cell-sites in a region transmit a "pilot" signal of the same frequency and code. The use of a pilot signal in CDMA systems is well known. In this particular application, the pilot signal is used by the mobile units for initial synchronization of the mobile unit receiver. The pilot signal is also used as a phase and frequency reference, and a time reference for demodulation of the digital speech signals transmitted by the cell-site.

In the present invention, each mobile unit estimates the path loss in signals transmitted from the cell-site to the mobile unit. In order to make this signal path loss estimate, the power level of the cell-site transmitted signals, as received at the mobile unit, are measured. The

8

mobile unit thus measures the pilot signal power as received from the cell-site to which the mobile unit is communicating. The mobile unit also measures the power level sum of all cell-site transmitted signals as received at the mobile unit. The power level sum measurement as described in further detail later herein, is necessary to handle cases in which the mobile unit might temporarily obtain a better path to a more distant cell-site than to a normally preferred closest cell-site.

The outbound link path loss estimate may be filtered using a non-linear filter. The purpose of the non-linearity in the estimation process is to permit a rapid response to a sudden improvement in the channel while only allowing a much slower response to a sudden degradation in the channel. The mobile unit in response to a sudden improvement in the channel thus suddenly reduces mobile unit transmitter transmit power.

10

15

20

25

35

Should the channel for one mobile unit suddenly improve, then the signal as received at the cell-site from this mobile unit will suddenly increase in power. This sudden increase in power causes additional interference to all signals sharing the same wideband channel. A rapid response to the sudden improvement will thus reduce system interference.

A typical example of a sudden improvement in the channel occurs when a mobile unit is moving through an area that is shadowed by a large building or other obstruction and then drives out of the shadow. The channel improvement, as a result of the vehicle movement, can take place on the order of a few tens of milliseconds. As the mobile unit drives out of the shadow, the outbound link signal as received by the mobile unit will suddenly increase in strength.

The outbound link path loss estimate at the mobile unit is used by the mobile unit to adjust the mobile unit transmitter power. Thus, the stronger the received signal, the lower the mobile unit transmitter power will be. Reception of a strong signal from the cell-site indicates that the mobile unit is either close to the cell-site or else an unusually good path to the cell-site exists. Reception of a strong signal means that a relatively smaller mobile unit transmitter power level is required in nominal received power at the cell-site the mobile unit.

9

In the case where there is a temporary but yet sudden degradation in the channel it is desirable that a much slower increase in mobile unit transmitter power be permitted. This slow increase in mobile unit transmitter power is desired so as to prohibit an unnecessarily rapid increase in mobile unit transmit power which increases the interference to all other mobile units. Thus a temporary degradation in one mobile unit channel will be tolerated in order to prevent a degradation of all mobile unit channels.

In the case of a sudden degradation in the channel, the non-linear filter prevents the mobile transmitter power from being increased at a high rate in response to the sudden decrease in signal power in signals received at the mobile unit. The rate of increase of the mobile unit transmitter transmit power must generally be limited to the rate of a closed loop power adjustment command transmitted from the cell-site, as described below, can reduce the mobile unit transmitter transmit power. Using the cell-site generated power adjustment commands, the mobile unit transmitter power will be prevented from being increased to a level significantly higher than the level required for communications, particularly when a sudden channel degradation occurs in only the outbound link path and not in the inbound link path.

It should be noted that it is undesirable to simply use a slow response on the mobile unit transmitter power control in an attempt to separate the fast Rayleigh fading from the slow fading due to distance and terrain. A slow response in the mobile unit transmitter power control is undesirable because the possibility of sudden improvements and fades that affect the inbound and outbound channels equally. If the response to a sudden improvement were to be slowed down by a filter, then there would be frequent occasions when the mobile unit transmitter power would be quite excessive and cause interference to all other mobile users. Thus the present invention uses a two-time constant, non-linear approach in estimating the path loss.

25

30

35

In addition to measuring the received signal strength in the mobile unit, it is also desirable for the processor in the mobile unit to know the cell-site transmitter power and antenna gain (EIRP), the cell-site G/T (receive antenna gain G divided by receiver noise level T) the mobile unit

antenna gain, and the number of calls active at this cell-site. This information allows the mobile unit processor to properly compute the reference power level for the local power setting function. This computation is done by calculating the cell-site to mobile link power budget, solving for the path loss. This path loss estimate is then used in the mobile cell-site link budget equation, solving for the mobile unit transmit power required to produce a desired signal level. This capability allows the system to have cell-sites with differing EIRP levels to correspond to the size of the cells. For example, a small radius cell need not transmit with as high a power level as a large radius cell. However, when the mobile unit is a certain distance from a low power cell, it would receive a weaker signal than from a high power cell. The mobile unit would respond with a higher transmit power than would be necessary for the short range. Hence, the desirability of having each cell-site transmit information as to its characteristics for power control.

10

15

25

30

35

The cell-site transmits information such as cell-site EIRP, G/T and number of active calls on a cell-site setup channel. The mobile unit receives this information when first obtaining system synchronization and continues to monitor this channel when idle for pages for calls originated within the public telephone switching network intended for the mobile unit. The mobile unit antenna gain is stored in a memory in the mobile unit at the time the mobile unit is installed in the vehicle.

As mentioned previously, mobile unit transmitter power is also controlled by a signal from one or more cell-sites. Each cell-site receiver measures the strength of the signal, as received at the cell-site, from each mobile unit to which the cell-site is in communication with. The measured signal strength is compared to a desired signal strength level for that particular mobile unit. A power adjustment command is generated and sent to the mobile unit in the outbound link data, or voice channel, addressed to that mobile unit. In response to the cell-site power adjustment command, the mobile unit increases or decreases the mobile unit transmitter power by a predetermined amount, nominally 1 dB. In the cell diversity situation, power adjustment commands are provided from both cell sites. The mobile unit acts upon these multiple cell-site provided power control commands, so as to avoid mobile unit transmitter

5

10

15

20

25

30

11

power levels that may adversely interfere with other mobile unit communications with the cell-sites, and yet provide sufficient power to support communications between the mobile unit and at least one cell-site.

The power adjustment command is transmitted by the cell-site transmitter at a relatively high rate, typically on the order of about one command every millisecond. The rate of transmission of the power adjustment command must be high enough to permit Rayleigh fading on the inbound link path to be tracked. It is further desirable for the outbound link path Rayleigh fading impressed on the inbound link path signal to be tracked. One command per 1.25 millisecond is adequate to track the fading processes for vehicle speeds in the range of 25-50 miles per hour for 850 MHz band mobile communications. It is important that the latency in determining the power adjustment command and the transmission thereof be minimized so that channel conditions will not change significantly before the mobile unit receives and responds to the signal.

In summary, to account for the independence of the two Rayleigh fading paths (inbound and outbound), the mobile unit transmitter power is controlled by the power adjustment command from the cell-site. Each cell-site receiver measures the received signal strength from each mobile unit. The measured signal strength is compared to the desired signal strength for that mobile unit and a power adjustment command is generated. The power adjustment command is sent to the mobile unit in the outbound data or voice channel addressed to that mobile unit. This power adjustment command is combined with the mobile unit one way estimate to obtain the final value of the mobile unit transmitter power.

The power adjustment command signal is transmitted, in an exemplary embodiment, by overwriting one or more user data bits every millisecond. The modulation system employed in CDMA systems is capable of providing correction coding for user data bits. The overwrite by the power adjustment command is treated as a channel bit error or erasure and corrected by the error correction as decoded in the mobile unit receiver. Error correction coding on the power adjustment command bits

35 in many cases may not be desirable because of the resulting increased

12

latency in reception and response to the power adjustment command. It is also envisioned that time division multiplexing for transmission of the power adjustment command bits may be used without overwriting user data channel symbols.

The cell-site controller or processor can be used to determine the desired signal strength, as received at the cell-site, for signals transmitted by each mobile unit. The desired signal strength level values are provided to each of the cell-site receivers. The desired signal strength value is used for comparing with a measured signal strength value for generating the power adjustment command.

5

10

25

30

35

A system controller is utilized to command each cell-site processor as to the value of desired signal strength to use. The nominal power level can be adjusted up or down to accommodate variations in the average conditions of the cell. For example, a cell-site positioned in an unusually noisy location or geographic region might be allowed to use a higher than normal inbound power level. However, such a higher power level for incell operation will result in higher levels of interference to immediate neighbors of this cell. This interference can be compensated for by allowing the neighbor cells a small increase in inbound link power. Such an increase in inbound power in neighboring cells would be smaller than that of the increase given to the mobile users communicating in the high noise environment cell. It is further understood that the cell-site processor may monitor the average bit-error-rate. This data may be used by the system controller to command the cell-site processor to set an appropriate inbound link power level to assure acceptable quality communications.

It is also desirable to provide a means for controlling the relative power used in each data signal transmitted by the cell-site in response to control information transmitted by each mobile unit. The primary reason for providing such control is to accommodate the fact that in certain locations, the outbound channel link from the cell-site to the mobile unit may be unusually disadvantaged. Unless the power being transmitted to this mobile is increased, the quality may become unacceptable. An example of such a location is a point where the path loss to one or two neighboring cells is nearly the same as the path loss to the cell-site

13

communicating with the mobile unit. In such a location, the total interference would be increased by three times over the interference seen by the mobile unit at a point relatively close to its cell-site. In addition, the interference coming from these neighboring cell-sites will not fade in unison with the desired signal as would be the case for interference coming from the desired cell-site. This situation may required 3 - 4 dB additional signal power to achieve adequate performance.

In another situation, the mobile unit may be located where several strong multi-path signals arrive, resulting in larger than normal interference. In such a situation, increasing the power of the desired signal relative to the interference may allow acceptable performance. At other times, the mobile unit may be located where the signal-to-interference ratio is unusually good. In such a case, the cell-site could transmit the desired signal using a lower than normal transmitter power, reducing interference to other signals being transmitted by the system.

10

15

20

25

30

35

To achieve the above objectives, the preferred embodiment includes a signal-to-interference measurement capability within the mobile unit receiver. This measurement is performed by comparing the power of the desired signal to the total interference and noise power. If the measured ratio is less than a predetermined value, the mobile transmits a request to the cell-site for additional power in cell-site transmissions. If the ratio exceeds the predetermined value the mobile unit transmits a request for a reduction in power.

The cell-site receives the power adjustment requests from each mobile and responds by adjusting the power allocated to the corresponding cell-site transmitted signal by a predetermined amount. The adjustment would usually be small, typically on the order of 0.5 - 1 dB, or around 12% more or less. Correspondingly the other cell-site transmitted signals are reduced by a factor of the increase divided by n, where n is the number of other channel units communicating to a mobile telephone. Typically the decrease in power may be on the order of 0.05 dB. The rate of change of power may be somewhat slower than that used for the inbound link from the mobile unit to cell-site, perhaps once per second. The dynamic range of the adjustment would also be limited to 4 dB less than nominal to about 6 dB greater than nominal. It should be

understood the power increase and decrease levels are for purposes of example and that other levels may be readily selected depending on system parameters.

The cell-site must also consider the power demands being made on it by all the mobiles in deciding whether to comply with the requests of any particular mobile. For example, if the cell-site is loaded to capacity, requests for additional power might be granted but only by 6% or less, instead of the normal 12%. In this regime, a request for a reduction in power would still be granted at the normal 12% change.

10

15

20

25

5

### BRIEF DESCRIPTION OF THE DRAWINGS

The features and advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters correspond throughout and wherein:

Figure 1 is a schematic overview of an exemplary mobile cellular telephone system;

Figures 2A-2D illustrate, in a series of graphs, mobile unit received signal strength and transmit power as a function of distance;

Figure 3 is a block diagram of a cell-site with particular reference to the power control features of the present invention;

Figure 4 is a block diagram of the mobile unit with particular reference to the power control features of the present invention;

Figure 5 is a block diagram illustrating in further detail the power control features of the mobile unit of Figure 4;

Figure 6 is a block diagram illustrating in further detail the power control features of the cell-site of Figure 3; and

Figure 7 is a block diagram of a cell-site/system controller 30 configuration for cell-site transmitter power control.

15

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

An exemplary terrestrial cellular mobile telephone system in which the present invention is embodied is illustrated in Figure 1. The system illustrated in Figure 1 utilizes CDMA modulation techniques in communications between the system mobile user, and the cell-sites. Cellular systems in large cities may have hundreds of cell-site stations serving hundreds of thousands of mobile telephones. The use of CDMA techniques readily facilitates increases in user capacity in systems of this size as compared to conventional FM modulation cellular systems.

10

15

20

25

30

In Figure 1, system controller and switch 10, typically includes appropriate interface and processing hardware for providing system control information to the cell-sites. Controller 10 controls the routing of telephone calls from the public switched telephone network (PSTN) to the appropriate cell-site for transmission to the appropriate mobile unit. Controller 10 also controls the routing of calls from the mobile units via at least one cell-site to the PSTN. Controller 10 may direct calls between mobile users via the appropriate cell-site stations since such mobile units do not typically communicate directly with one another.

Controller 10 may be coupled to the cell-sites by various means such as dedicated telephone lines, optical fiber links or by radio frequency communications. In Figure 1, two exemplary cell-sites, 12 and 14, along with two exemplary mobile units 16 and 18 which include cellular telephones are illustrated. Arrows 20a-20b and 22a-22b respectively define the possible communication links between cell-site 12 and mobile units 16 and 18. Similarly, arrows 24a-24b and arrows 26a-26b respectively define the possible communication links between cell-site 14 and mobile units 18 and 16. Cell-sites 12 and 14 normally transmit using equal power.

Cell-sites 12 and 14 are in the typical case are terrestrial base stations which define cell service areas, however it should be understood that earth orbit relay satellites, such as satellites 13 and 15, may be used to provide more complete cellular coverage, particularly for remote areas. In the satellite case, signals are relayed between the mobile users and the

20

25

30

terrestrial base stations using satellites 13 and 15. As in the terrestrial only case, the satellite case also provides the ability for communication between a mobile unit and one or more base stations via multiple transponders on the same satellite or through different satellites.

Mobile unit 16 measures the total received power in pilot signals transmitted by cell-sites 12 and 14 upon path 20a and 26a. Similarly, mobile unit 18 measures the total received power in pilot signal as transmitted by cell-sites 12 and 14 upon paths 22a and 24a. In each of mobile units 16 and 18, pilot signal power is measured in the receiver where the signal is a wideband signal. Accordingly, this power measurement is made prior to correlation of the received signal with a pseudonoise (PN) spectrum spreading signal.

When mobile unit 16 is closer to cell-site 12, the received signal power will be dominated by the signal traveling path 20a. When mobile unit 16 is nearer to cell-site 14, the received power will be dominated by the signal traveling on path 26a. Similarly, when mobile unit 18 is closer to cell-site 14, the received power will be dominated by the signal on path 24a. When mobile unit 18 is closer to cell-site 12, the received power will be dominated by the signal traveling on path 22a.

Each of mobile units 16 and 18 uses the resultant measurement, together with knowledge of the cell-site transmitter power and the mobile unit antenna gain to estimate the path loss to the closest cell-site. The estimated path loss, together with knowledge of the mobile antenna gain and the cell-site G/T is used to determine the nominal transmitter power required to obtain the desired carrier-to-noise ratio in the cell-site receiver. The knowledge by the mobile units of the cell-site parameters may be either fixed in memory or transmitted in cell-site information broadcast signals, setup channel, to indicate other than nominal conditions for a particular cell-site.

As a result of the determination of the mobile unit nominal transmit power, in the absence of Rayleigh fading and assuming perfect measurements, the mobile unit transmitted signals will arrive at the nearest cell-site precisely at the desired carrier-to-noise ratio. Thus the desired performance will be obtained with the minimum amount of mobile unit transmitter power. The minimization of the mobile unit

transmitted power is important in a CDMA system because each mobile unit causes interference to every other mobile unit in the system. In minimizing the mobile unit transmitter power, system interference will be held to a minimum, thus allowing additional mobile users to share the frequency band. Accordingly, system capacity and spectral efficiency is maximized.

Figure 2A illustrates the effect of both Rayleigh fading as a function of distance on the strength of the cell-site transmitted signal as received at a mobile unit. The average path loss, indicated by curve 30, is determined primarily by the fourth-power of the distance between the cell-site and the mobile unit, and by the shape of the terrain between them. As distance increases between the mobile unit and the cell-site, signal power decreases as received at the mobile unit for a constant power transmitted cell-site signal. The average path loss is the same for both directions of the link, and typically exhibits a log-normal distribution about the average path loss.

In addition to the slowly varying log-normal average path loss, the rapid fading up and down around the average path loss is caused by the existence of multiple path signal propagation. The signals arrive from these multiple paths in random phase and amplitude, resulting in the characteristic Rayleigh fading. Curve 32, as illustrated in Figure 2A, represents the variation in signal path loss as a result of Rayleigh fading. The Rayleigh fading is typically independent for the two directions of the cell-site/mobile unit communication link, i.e. outbound and inbound channels. For example, when the outbound channel is fading, the inbound channel is not necessarily fading at the same time.

Figure 2B illustrates the mobile unit transmitter power adjusted to correspond to the link path signal strength of Figure 2A. In Figure 2B, curve 34 represents the desired average transmit power corresponding to the average path loss of curve 30 of Figure 2A. Similarly, curve 36 corresponds to the mobile unit transmitter power responding to the Rayleigh fading as represented by curve 32 of Figure 2A. As the Rayleigh faded signal, curve 32 of Figure 2A, decreases in signal strength, rapid increases in transmitter power result. These rapid upward excursions of transmitter power can result in deleterious effects in overall system

10

15

20

25

30

35

performance. Therefore, the present invention envisions the use of an optional nonlinear filter to control rapid upward excursions, or increases, in transmitter power. Furthermore, the present invention also utilizes closed loop power adjustment feedback from the cell-site to adjust mobile unit transmitter power.

Figure 2C illustrates the mobile unit transmitter power corresponding to Figure 2A without taking into consideration the cell-site closed loop power adjustment feedback. In Figure 2C the desired average transmit power, as represented by curve 34', corresponds to the mobile unit received signal strength of curve 30 of Figure 2A. Curve 38 illustrates the transmitter power utilizing the optional non-linear filter in power control of the present invention.

The rapid upward excursions in transmitter power, as indicated by the dashed lines of Figure 2C and correspond to the upward excursions in curve 36 of Figure 2B, are significantly reduced. In curve 38, the upward excursions are significantly reduced by setting the rate of increase in transmit power to a fixed value. The resulting variation in transmitter power relative to the desired transmit power is both limited in dynamic range and in rate of change. This limitation allows the closed loop power adjustment feedback process to be easier to implement and to be more effective at a much lower control data rate. Transmit power, as indicated by curve 38, is permitted to decrease at a much greater rate than an increase.

As distance increases from the points marked  $D_1$ - $D_2$ , transmitter power decreases rather quickly corresponding to a sudden improvement in the channel. Between the distance points marked  $D_2$ - $D_3$  the channel degrades with a corresponding increase in transmitter power. The change in degradation is not so significant such that the non-linear filter maximum rate limits the rate of increase in transmitter power.

As distance increases as from the distance points marked  $D_3$ - $D_4$ , the channel degrades much more rapidly than the nonlinear filter will permit an increase in transmitter power. During this period, transmitter power increases at the maximum rate permitted by the non-linear filter. During the distance change indicated by marks  $D_4$ - $D_5$ , the channel begins improving. However, as the quality of the channel improves the

19

transmitter power continues to increase at the maximum rate until transmitter power is sufficient to meet the desired level such as at mark  $D_5$ .

In certain conditions may be desirable to eliminate the upward excursions in transmitter power which may cause unnecessary system interference. Should a better path to another cell-site occur, which would result in unnecessary interference in the system, quality communications in the system may be maintained by limiting the rate of increase in transmitter power.

5

10

15

20

25

30

35

Figure 2D is a graph illustrating the cell-site received signal power strength with respect to transmissions of the mobile unit as it travels from the cell-site. Curve 40 indicates the desired average received signal power at the cell-site for a signal transmitted from a mobile unit. It is desirable that the average received signal power be at a constant level, yet a minimum necessary to assure a quality communication link with the mobile unit. Corrections are made at the mobile unit to correct for Rayleigh fading in the cell-site transmitted signal.

The mobile unit transmitted signal experiences Rayleigh fading before arriving at the cell-site receiver. The signal received at the cell-site is therefore a signal of constant average received power level but still with the Rayleigh fading of the inbound channel impressed thereupon. Curve 42 represents the Rayleigh fading that occurs on the inbound signal. In the terrestrial channel a high speed power control process is utilized in the present invention to compensate for the Rayleigh fading. In the satellite repeater situation the speed at which the open loop control operates is slowed down.

Additionally, there is the possibility that the mobile unit may come to rest at a place where the outbound link is not faded but yet the inbound link is severely faded. Such a condition would disrupt communications unless an additional mechanism is employed to compensate for the inbound channel Rayleigh fading. The closed loop power adjustment command process employed at the cell-site is such a mechanism for adjusting the mobile unit transmitter power, so as to compensate for the Rayleigh fading on the inbound channel. In Figure 2D, curve 44 illustrates the mobile unit transmitted signal power as received at the cell-site when

10

15

20

25

35

compensating for average path loss and Rayleigh fading on both the inbound and outbound channels. As can be seen in Figure 2D curve 44 follows close to curve 40 except for instances of severe fading where the fading process is minimized by the closed loop control.

In Figure 3, antenna 52 is provided for receiving multiple mobile unit transmitted signals which are then provided to analog receiver 54 for amplification, frequency downconversion and IF processing of the received RF signal. The analog signals output from receiver 54 are provided to a plurality of receiver modules or channel units for, extraction of user directed information signals, generation of power adjustment commands, and modulation of user input information signals for transmission. One such module used in communications with a particular mobile unit, such as mobile unit N, is module 50N. Thus the output of receiver 54 is provided to a plurality of these modules including module 50N.

Module 50N comprises digital data receiver 56, user digital baseband circuit 58, received power measurement circuitry 60 and transmit modulator 62. Digital data receiver 56 receives the wideband spread spectrum signals for correlating and despreading the mobile unit N transmitted signal to a narrowband signal for transfer to an intended recipient communicating with mobile unit N. Digital data receiver 56 provides the narrowband digital signals to user digital baseband circuitry 58. Digital data receiver 56 also provides the narrowband signal to received power measurement circuitry 60.

Received power measurement circuitry 60 measures the power level in the received signal from mobile unit N. Received power measurement circuitry 60 in response to the measured level of power generates a power adjustment command which is input to transmit modulator 62 for transmission to mobile unit N. As previously discussed, the data bits in the power adjustment command are used by mobile unit N in adjusting mobile unit transmitter power.

When the received power measurement is greater than the preset level provided by a cell-site processor (not shown), an appropriate power adjustment command is generated. Should the received power measurement be less than the preset level, the power adjustment

21

command data bits are generated and indicate that an increase in mobile unit transmitter power is necessary. Similarly, if the received measurement is greater than the preset level, the power adjustment command is generated such that the mobile unit transmitter power is reduced. The power adjustment command is utilized to maintain a nominal received power level at the cell-site.

5

10

15

20

25

30

35

The signal output from digital data receiver 56 is provided to user digital baseband circuitry 58 where it is interfaced for coupling to the intended recipient via the system controller and switch. Similarly, baseband circuitry 58 receives user information signals intended for mobile unit N and provides them to transmit modulator 62.

Transmit modulator 62 spread spectrum modulates the user addressable information signals for transmission to mobile unit N. Transmit modulator 62 also receives the power adjustment command data bits from received power measurement circuitry 60. The power adjustment command data bits are also spread spectrum modulated by transmit modulator 62 for transmission to mobile unit N. Transmit modulator 62 provides the spread spectrum modulated signal via transmit power control circuitry 63 to summer 64 where combined with spread spectrum signals from other module transmit modulators also located at the cell-site.

The combined spread spectrum signals are input to summer 66 where they are combined with a pilot signal provided by pilot signal generator 68. These combined signals are then provided to circuitry (not shown) for frequency upconversion from the IF frequency band to the RF frequency band and amplified. The RF signals are then provided to antenna 52 for transmission. Although not illustrated, transmit power control circuitry may be disposed between summer 66 and antenna 52. This circuitry, under control of the cell-site processor, is responsive to power adjustment command signals transmitted by the mobile unit which are demodulated at the cell-site receiver and provided to the cell-site control processor for coupling to the circuitry.

In Figure 4, the mobile unit, such as mobile unit N, includes an antenna 70 for collecting cell site transmitted signals and radiating mobile unit generated CDMA signals. Typically antenna 70 is comprised of two

separate antennas, one for transmission and another for reception. Mobile unit N receives the pilot signal, setup channel signals and the mobile unit N addressed signals using antenna 70, analog receiver 72 and digital data receiver system 74. Receiver 72 amplifies and frequency downconverts the received RF CDMA signals to IF, and filters the IF signals. The IF signals are output to digital data receiver 74 for digital processing. Receiver 72 also includes circuitry for performing an analog measurement of the combined power of the received signals. This power measurement is used to generate a feedback signal that is provided to transmit power control circuitry 76 for controlling transmit power.

Digital data receiver system 74 is comprised of multiple digital data receivers. One digital data receiver, receiver 74a is used to search for pilot signals that are transmitted by each cell-site. These pilot signals may be multipath signals of a same cell-site, pilot signals transmitted by different cell-sites, or a combination of both. The different cell-site transmitted pilot signals are each of a same spreading code but of at a different code phase offset for identification of the particular cell-site. Receiver 74a provides to control processor 78 signals indicative of the strongest pilot signals, whether they be multipath signals of a single cell-site or from different cell-sites. Control processor 78 uses the information provided from receiver 74a in establishing and maintaining communication with the cell-site or cell-site.

Digital data receiver system 74 is further comprised of digital data receivers 74b and 74c. Although only two receivers are illustrated, additional receivers may be provided. Receivers 74a and 74b are used for despreading and correlating the received signals addressed to mobile unit N from one cell-site or from multiple cell-sites for cell diversity mode communications. Receivers 74b and 74c are assigned to process different multipath signals from the same cell-site, or signals from different cell-sites when in a cell diversity mode. Under the control of control processor 78, receivers 74b and 74c process the assigned signal intended for the mobile user. Typically receivers 74b and 74c are assigned to process the spread spectrum digital user data signal which correspond to the strongest pilot signals identified by receiver 74a.

Receivers 74b and 74c provides demodulated user data such as digitized encoded speech to diversity combiner and decoder circuitry 75. Circuitry 75 coherently combines the different signals from receivers 74b and 74, whether they be multipath signals or cell diversity signal so as to provide a single user data signal. Circuitry 75 also performs decoding and error correction on the user data. The signal output from circuitry is provided to digital baseband circuitry 82 for interface with the user. Baseband circuitry 82 includes interface hardware for coupling receiver 74 and transmit modulator 82 to the user handset (not shown).

Receivers 74b and 74c also separate the digital user data from the power adjustment commands generated by the cell-site(s) and transmitted in the user data signals. The extracted power adjustment command data bits are sent to control processor 78. Processor 78 analyzes the power adjustment commands to so as to provide control over the mobile unit transmitter power.

In the single cell situation when either one or more (multipath) signals are the signals assigned to be processed by receivers 74b or/and 74c, the power adjustment commands are recognized as originating from a single cell-site. In this case, processor 78 is responsive to the power adjustment command data bits generates a transmit power control command that is provided to transmit power control circuitry 80. When the power adjustment commands indicate that additional mobile unit transmitter power is required, processor 78 provides a signal to transmit power control circuitry 76 to increase transmitter power. Similarly, when the power adjustment commands indicate that less mobile unit transmitter power is required, processor 78 provides a signal to transmit power control circuitry 76 to decrease transmitter power. However, in the cell diversity situation additional factors must be taken into consideration by processor 78.

In the cell diversity situation, the power adjustment commands are arriving from two different cell-sites. Mobile unit transmitter power as measured at these different sites may be different, and therefore care must be taken in controlling the mobile unit transmitter power to avoid transmitting at a level that would adversely affect communications between the cell-sites and other users. Since the cell-site power

adjustment command generation process is independent from each other cell-site, the mobile unit must respond to the received commands in a manner that does not affect other users.

In the cell-diversity situation should both cell-sites provide power adjustment commands to the mobile unit requesting additional power, control processor operates in a logical AND function and generates a power control signal to transmit power control circuitry 76 indicative of an increase in transmitter power. In this example a request for a power increase request corresponds to a logical "1" while a power decrease request corresponds to a logical "0". Transmit power control circuitry 76 is responsive to this type of power control signal so as to increase the transmitter power. This situation may occur when the communication path to both cell-sites is degraded for one or more reasons.

In the case when one cell-site requests an increase in transmitter power but the other one requests a decrease, processor 78 again operates in the above mentioned logical AND function to generate a power control signal to transmit power control circuitry 76 indicative of an decrease in transmitter power. Transmit power control circuitry 76 is responsive to this type of power control signal so as to decrease the transmitter power.

This situation may occur when the communication path to one cell-site is degraded while the communication path to the other cell-site becomes improved.

In summary, mobile unit transmitter power is increased only when all cell-sites in which the mobile unit is in communication with request an increase in power, and is decreased when any one or more of these cell-sites request a decrease in power. In this scheme, a mobile unit will not transmit at a power level that would unnecessarily increase the level of system interference for other users, but yet maintain a level that would facilitate communication with at least one cell-site.

Further discussion on the function of receiver system 74 in communications with multiple cell-sites is provided in previously mentioned U.S. Patent No. 5,109,390. The function is also further exemplified in previously mentioned U.S. Patent No. 5,101,501.

Processor 78 also provides a level set command to transmit power control circuitry 76 for use in setting transmitter power level in the with

10

15

20

25

30

35

respect to the wideband power measurement from analog receiver 72. Further details on the interaction of receiver 72, transmit power control circuitry 76 and 80, and processor 78 are described in further detail with reference to Figure 5.

where it is encoded and provided to transmit modulator 84. The data is spread spectrum modulated by transmit modulator 84 according to an assigned spreading code. The spread spectrum signals are output from transmit modulator 84 to transmit power control circuitry 80. The signal power is adjusted in accordance with the transmit power control command provided by control processor 78. This power adjusted signal is provided from transmit power control circuitry 80 to transmit power control circuitry 76 where the signal is adjusted in accordance with the analog measurement control signal. Although illustrated as two separate units for controlling the transmit power, the power level could be adjusted by a single variable gain amplifier with two input control signals combined before being applied to the variable gain amplifier. However in the illustrated exemplary embodiment the two control functions are shown as separate elements.

In the operation of the power control circuitry illustrated in Figure 4, receiver 72 measures the combined power level of all signals received from all cell-sites. These power level measurement results are used in controlling the power level as set by transmit power control circuitry 76. Transmit power control circuitry 76 includes circuitry in which the rate of increase transmitter power is limited by an optional non-linear filter as previously discussed. The rate of increase is set to be no faster than the rate at which transmit power control circuitry 80 can turn the power down in response to a series of downward commands from the cell-site as processed by receiver 74 and processor 78.

Figure 5 illustrates in further detail the power control aspect of mobile unit N discussed with reference to Figure 4. In Figure 5, received RF signals from the antenna are provided to frequency downconverter 90 where the received RF signals are converted to an IF frequency. The IF frequency signals are coupled to bandpass filter 92 where out of band frequency components are removed from the signals.

10

15

20

25

30

The filtered signals are output from filter 92 to variable gain IF amplifier 94 where the signals are amplified. The amplified signals are output from amplifier 94 to an analog to digital (A/D) converter (not shown) for digital signal processing operations on the signals. The output of amplifier 94 is also coupled to automatic gain control (AGC) detector circuit 96.

AGC detector circuit 96 generates a gain control signal which is coupled to a gain control input of amplifier 94. This gain control signal is used to control the gain of the amplifier 94 so as to maintain a constant average power level as output from amplifier 94 to the A/D converter.

AGC detector circuit 96 also provides an output to one input of comparator 98. The other input of comparator 98 is provided with a level set signal from the mobile unit processor (not shown). This level set signal is indicative of a desired transmitter reference power level. These input signals are compared by comparator 98 with the comparison signal provided to an optional non-linear filter circuit 100. This comparison signal corresponds to a deviation in the received power measurement from a desired mobile unit transmitter power level.

Filter 100 may be configured as a simple resistor-diode-capacitor circuit. For example, the input to the circuit is a common node shared by two resistors. The other end of each resistor is coupled to a respective diode. The diodes are reversed in their connection to the resistors and the other end of each diode coupled together at a common node as an output of the filter. A capacitor is coupled between the diode common node and ground. The filter circuit is designed to limit the rate of power increase to less than 1 dB per millisecond. The rate of power decrease is typically set to be about ten times faster than the rate of power increase, i.e. 10 dB per millisecond. The output of filter 100 is provided as a power level control signal input to the gain control input of variable gain IF amplifier 102.

AGC detector circuit 96, comparator 98 and filter 100 estimate received mobile unit signal power and the power correction necessary for the mobile unit transmitter. This correction is used to maintain a desired transmitter power level in conditions of fading on the outbound channel that are common to the inbound channel.

27

Transmit modulator circuit 84 of Figure 4, provides a low power, IF frequency spread spectrum signal to an input of variable gain IF amplifier 104. Amplifier 104 is gain controlled by a power level control signal from processor 78 (Figure 4). This power level control signal is derived from the closed loop power adjustment command signal transmitted by the cell-site and processed by the mobile unit as discussed with reference to Figure 4.

The power adjustment command signal consists of a sequence of power-up and power-down commands that are accumulated in the mobile unit processor. The mobile unit control processor starts with the gain control level set to a nominal value. Each power-up command increases the value of a gain control command corresponding to a resultant approximate 1 dB increase in amplifier gain. Each power-down command decreases the value of the gain control command, corresponding to a resultant approximate 1 dB decrease in amplifier gain. The gain control command is converted to analog form by a digital to analog (D/A) converter (not shown) before applied to amplifier 104 as the power level control signal.

10

15

20

25

30

The mobile unit reference power level may be stored in the memory of the control processor. In the alternative, the mobile unit reference power level may be contained within a signal sent to the mobile unit. This signal command data is separated by the digital data receiver and interpreted by the control processor in setting the level. This signal as provided from the control processor is converted by a digital to analog (D/A) converter (not shown) before input to comparator 98.

The output of amplifier 104 is provided as an input to amplifier 102. Amplifier 102 as previously mentioned is also a variable gain IF amplifier with the gain determined according to the power level control signal output from filter 100. The signal for transmission is thus amplified in accordance with the gain set by the power level control signal. The amplified signal output from amplifier 102 and is further amplified and frequency translated to the RF frequency for transmission. The RF signal is then fed to the antenna for transmission.

15

25

30

35

Figure 6 illustrates in further detail the power control scheme of the cell-site as illustrated in Figure 3. In Figure 6, a mobile unit transmitted signal is received at the cell-site. The received signal is processed by the cell-site analog receiver and cell-site corresponding to mobile unit N.

In the digital data receiver, receiver 56 of Figure 3, the received analog signal is converted from analog to digital form by A/D converter 110. The digital signal output from A/D converter is provided to pseudorandom noise (PN) correlator 112 where the signal undergoes a correlation process with a PN signal provided from PN generator 114. 10 The output of PN correlator 112 is provided to a fast Hadamard transform digital filter 114 where the signal is filtered. The output of filter 114 is provided to a user data decoder circuit 116 which provides user data to the user digital baseband circuitry. Decoder 116 provides the largest transform filter symbols to power averager circuit 118. Power averager circuit 118 averages the largest transform outputs over a one millisecond interval using well known digital techniques.

A signal indicative of each average power level is output from power averager 118 to comparator 120. Comparator 120 also receives a power level set signal indicative of the desired received power level. This 20 desired received power level is set by the control processor for the cell-site. Comparator 120 compares the two input signals and provides an output signal indicative of the deviation of the average power level from the desired power level. This signal is provided output to power up/down command generator 122. Generator 122 in response to the comparison generates either a power-up or a power-down command. Power command generator 122 provides the power control commands to the cell-site transmit modulator for transmission and control of the transmitter power of mobile unit N.

If the received power at the cell-site is higher than that desired of mobile unit N, then a power-down command is generated and transmitted to mobile unit N. However, if the received power level at the cell-site is too low, then a power-up command is generated and transmitted. The up/down commands are transmitted at a high rate, nominally 800 commands per second in the exemplary embodiment. At one bit per command, the overhead of the power command is

29

insignificant compared to the bit rate of a high quality digital voice signal."

The power adjustment command feedback compensates for changes in the inbound channel that are independent of the outbound channel. These independent inbound channel changes are not measured in the outbound channel signal. Therefore the path loss estimate based upon then outbound channel and the corresponding transmitter power adjustment do not reflect the changes in the inbound channel. Thus, the power adjustment command feedback is used to compensate for adjustments in the mobile unit transmitter power based on the inbound channel path losses that do not exist in the outbound channel.

10

15

20

25

30

35

In using a closed loop control process it is highly desirable for the command to arrive at the mobile unit before conditions change significantly. The present invention provides a novel and unique power control circuitry at the cell-site for minimizing delay and latency of measurement and transmission. The power control circuitry at the mobile unit, analog control and digital command response, provides a vastly improved power control process in the cellular mobile telephone system.

As mentioned previously, it is also desirable to control the cell-site transmission power in response to requests from the mobile unit. Figure 7 illustrates the typical cell-site configuration in which multiple modules 50A - 50Z are included. Modules 50A - 50Z are each identical in construction with that of module 50N of Figure 3. In Figure 7, mobile unit N is considered to be in communication with module 50N for purposes of illustration.

Each of modules 50A - 50Z are coupled to system controller 10 as was discussed with reference to Figure 1. Through the link to system controller 10, each module 50A - 50Z demodulates and relays the mobile unit power requests to system controller 10. System controller 10 in response to a mobile unit request for an increase in module transmitter power may reduce all transmitter power for some or all other module transmitters by a small increment. System controller 10 would transmit a power control command to a cell-site, typically to the cell-site control processor. The cell-site control processor in response thereto reduces transmitter power of the cell-site other modules. The reduction in power

30

of the other modules makes available an increase in power to the module servicing the requesting mobile user by n times the increment, where n is the number of modules reducing transmitter power. Using this technique there is no change in the total transmission power of the cell-site modules, i.e. no change in the sum of the individual module transmitter power.

Referring back to Figure 3, module 50N transmits at a nominal power level as discussed above. The power level is set by a command from the cell-site control processor, with this command modified at the cell-site control processor by a command from the system controller. The command input to transmit power control circuitry 63 is used typically to decrease transmitter power. Transmit power control circuitry 63 may be configured as a variable gain amplifier as was discussed with reference to Figure 5.

Referring to Figure 4, at the mobile unit the quality of the received data signal is measured in the form of data frame errors. From this measurment the level of adequacy of the signal power is determined, where execessive frame errors are an indication insufficient signal power. Frame error information may be generated from the known error correction circuitry such as through the normalization rate of a Viterbi decoder or Cyclic Redundancy Check/Code (CRC), or a combination thereof. Various other techniques that are well known in the art may be used for indirectly or directly measuring signal power. Other techniques include re-encoding the data and comparing with the originally transmitted data for an indication of errors. It should further be understood that the power of the data signal itself may be measured and used as an indication of link quality.

15

30

35

The frame error information is provided to processor 78. Processor 78 in response to a frame error rate exceeding a predetermined threshold level over a certain number of frames, such as 5 frames, generates a power increase request message that is output to transmit modulator 84. Transmit modulator 84 modulates the power request message for transmission to the cell-site.

It should be understood that the system controller through the cell-site modules may solicit a power level measurement at the mobile units. Each mobile unit communicates its power level measurement to

31

the system controller. In response thereto the system controller may adjust transmission power for the various cell-site modules for system optimization.

The previous description of the preferred embodiments are provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principals defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein, but is to be accorded the widest scope consistent with the principals and novel features disclosed herein.

WE CLAIM:

CLAIMS In a cellular mobile telephone system in which system users 1. communicate information signals between one another via multiple 2 cell-sites using code division multiple access (CDMA) spread spectrum communication signals, a power control system for controlling transmission signal power for each mobile telephone in said cellular mobile telephone system, wherein each mobile telephone has an antenna, 6 transmitter and a receiver system and each cell-site has an antenna, at least one transmitter and at least one receiver, said power control system 8 comprising: first power measurement means, coupled to a mobile telephone 10 receiver system, for measuring signal power in CDMA communication 12 signals received by said mobile telephone receiver system; first power adjustment means, coupled to a mobile telephone transmitter and corresponding mobile telephone first power 14 measurement means, said first power adjustment means responsive to decreases and increases in power measurements of said corresponding first 16 power measurement means with respect to a first predetermined power level, for respectively increasing and decreasing transmission signal power 18 of said corresponding mobile telephone transmitter; a plurality of second power measurement means, each coupled to a 20 respective cell-site receiver, for measuring signal power in each CDMA communication signal directed to said respective cell-site receiver from a 22 corresponding mobile telephone transmitter in communication 24 therewith; a plurality of power adjustment command generator means, each coupled to a respective cell-site transmitter and corresponding second 26 power measurement means for, generating power adjustment commands corresponding to deviations in power measurements of said 28 corresponding second power measurement means from a second predetermined power level, said respective cell-site transmitter 30 transmitting said power adjustment commands, wherein said power

adjustment commands are indicative of a request for at an increase in

mobile telephone transmitter power when said second power

33

measurement means measured power is below said second power level and indicative of a decrease in mobile telephone transmitter power when said second power measurement means measured power is above said

- second power level; and 38 second power adjustment means, coupled to said mobile telephone receiver system and corresponding transmitter, said second power 40 adjustment means responsive to said power adjustment commands directed to said respective mobile telephone receiver system, for 42 decreasing transmission signal power of said mobile telephone transmitter when said power adjustment commands as as transmitted by at least one 44 of said cell-site and received at said mobile telephone receiver system is indicative of a decrease in transmission signal power of said mobile 46 telephone transmitter and for increasing transmission signal power of said mobile telephone transmitter when said transmitted power adjustment 48 commands as transmitted by all of said cell-sites and received at said mobile telephone receiver system are indicative of an increase in 50 transmission signal power of said mobile telephone transmitter.
  - The power control system of Claim 1 wherein said first power
     measurement means power measurements correspond to a sum of all contemporaneously received CDMA communication signals within a
     predetermined frequency band, and said first power measurement means is further for generating and providing a corresponding first power
     measurement signal to said corresponding first power adjustment means.
  - 3. The power control system of Claim 2 wherein said first power 2 adjustment means comprises:

comparator means for receiving said first power measurement signal and a first power level set signal, said first power level set signal corresponding to a desired mobile telephone transmitter power level, for comparing said first power measurement signal with said first power level set signal, and for providing a corresponding comparator output signal; and variable gain amplification means operatively coupled to said mobile telephone transmitter for receiving said comparator output signal and responsive thereto for varying transmission signal power of said corresponding mobile telephone transmitter.

- 4. The power control system of Claim 1 wherein said cell-sites2 are terrestrially located and define a corresponding service area.
- The power control system of Claim 1 wherein said cell-sites
   communicate with said mobile telephones through at least one earth orbit relay satellites.
- An apparatus for controlling transmission signal power in a
   cellular mobile telephone in communications having a plurality of cell-site stations using code division multiple access (CDMA) spread
   spectrum communication signals, said cellular mobile telephone having an antenna coupled to a transmitter and a receiver, said transmitter for generating and transmitting a CDMA carrier signal according to an
- assigned spreading function modulated by a mobile user input 8 information signal, said receiver for receiving CDMA communication signals from at least two of said cell-site stations and spread spectrum
- processing said received CDMA communication signals according to an assigned spreading function so as to recover a mobile user output
- 12 information signal intended for a user of said cellular mobile telephone, said at least two cell-site stations communicating power control
- 14 commands in CDMA communication signals intended for said cellular mobile telephone with said receiver providing an output of said power
- 16 control commands, said apparatus comprising:

measurement means for, operatively coupling to said receiver,

1 8 measuring combined signal power in contemporaneously received CDMA communication signals and providing a measurement signal indicative of

2 0 measured signal power;

adjustment means for, operatively coupling to said transmitter, 22 receiving said measurement signal, varying transmitter signal power in opposite correspondence to variations in measured signal power about a

4

24 predetermined power level; and

additional adjustment means for, operatively coupling to said receiver and transmitter, receiving said power adjustment commands, decreasing transmitter signal power when at least one of said at least two cell-site station transmitted power adjustment commands as received at said receiver is indicative of a decrease in transmitter signal power, and for increasing transmitter signal power when all of said at least two cell-site transmitted power adjustment commands as received at said receiver are indicative of an increase in transmitter signal power.

- 7. The apparatus of Claim 6 wherein each of said at least two cell-site stations measures signal power of said cellular mobile telephone transmitted CDMA communication signals and generates for
- 4 transmission said power adjustment commands indicative of at least one of an increase and a decrease in said cellular mobile telephone signal
- 6 power so as to maintain a predetermined power level in CDMA communication signals from said cellular mobile telephone received by at
- 8 least one of said at least two cell-site stations.
- 8. The apparatus of Claim 6 wherein said adjustment means 2 comprises:

comparator means for receiving measurement signal and a first power level set signal, said first power level set signal corresponding to a desired cellular mobile telephone transmitter power level, for comparing said first power measurement signal with said first power level set signal, and for providing a corresponding comparator output signal;

variable gain amplification means, operatively coupled to said transmitter, for receiving said comparator output signal and responsive
 thereto for varying signal power of said transmitter.

9. The apparatus of Claim 8 wherein said additional adjustment 2 means comprises:

processor means for, receiving said power adjustment commands, accumulating said power adjustment commands with a predetermined gain control level setting, and providing a corresponding power

6 adjustment signal; and

additional variable gain amplification means, operatively coupled to said transmitter, for receiving said power adjustment signal and responsive thereto for varying signal power of said transmitter.

- The power control system of Claim 6 wherein at least one of
   said two cell-site stations are terrestrially located and define a corresponding service area.
- The power control system of Claim 6 wherein at least one of
   said two said cell-site stations communicate with said mobile telephone through at least one earth orbit relay satellite.
- 12. In a cellular mobile telephone system in which system users
   2 communicate information signals between one another via at least one cell-site using code division multiple access (CDMA) spread spectrum
   4 communication signals, a method for controlling transmission signal
- power of a mobile telephone in said cellular mobile telephone system, 6 wherein said mobile telephone has an antenna, transmitter and receiver, and each cell-site has an antenna, at least one transmitter and at least one
- 8 receiver, and said mobile telephone communicates CDMA communication signals with at least two of said cell-sites, said method

10 comprising the steps of:

measuring signal power in CDMA communication signals received by said mobile telephone receiver;

varying transmission signal power of each corresponding mobile 14 telephone transmitter in opposite correspondence to variations in corresponding mobile telephone power measurements with respect to a 16 first predetermined power level;

measuring signal power in each CDMA communication signal received at said at least two cell-site receivers as transmitted from said mobile telephone transmitter;

generating power adjustment commands corresponding to deviations in said at least two cell-site power measurements from a second
 predetermined power level, wherein said power adjustment commands

WO 92/21196 PCT/US92/04161

37

are indicative of a request for at an increase in mobile telephone

24 transmitter power when each said respective cell-site measured power is
below said second power level and indicative of a decrease in mobile

26 telephone transmitter power when each said respective cell-site measured
power is above said second power level;

transmitting by said at least two cell-sites said respective power adjustment commands along with said information signals to said mobile telephone;

receiving at each respective mobile telephone receiver said power 3 2 adjustment commands corresponding thereto;

decreasing transmission signal power of said mobile telephone 3.4 transmitter when said power adjustment commands as as transmitted by at least one of said at least two cell-site and received at said mobile 3.6 telephone receiver system is indicative of a decrease in transmission signal power of said mobile telephone transmitter; and

for increasing transmission signal power of said mobile telephone transmitter when said transmitted power adjustment commands as transmitted by all of said at least two cell-site and received at said mobile telephone receiver system are indicative of an increase in transmission signal power of said mobile telephone transmitter.

13. The method of Claim 12 wherein said step of generating power adjustment commands corresponding to deviations in corresponding cell-site power measurements from a second predetermined power level comprises the steps of:

generating a power-up command in response to a decrease in a corresponding cell-site power measurement from said second predetermined power level; and

generating a power-down command in response to an increase in a corresponding cell-site power measurement from said second predetermined power level.

14. The method of Claim 12 wherein in said step of measuring
 2 signal power in CDMA communication signals received by each respective mobile telephone receiver, measured signal power corresponds to a sum

- of all contemporaneously received CDMA communication signals within a predetermined frequency band.
- 15. The method of Claim 14 further comprising the step of generating a first power measurement signal corresponding to said measured signal power in CDMA communication signals received by said mobile telephone receiver.
- 16. The method of Claim 15 wherein said step of varying transmission signal power of said mobile telephone transmitter in opposite correspondence to variations in corresponding mobile telephone power measurements with respect to a first predetermined power level comprises the steps of:
- comparing said first power measurement signal with a first power level set signal, said first power level set signal corresponding to a desired mobile telephone transmitter power level;
- providing a comparator output signal corresponding to a difference 10 between said first power measurement signal and said first power level set signal; and
- varying mobile telephone transmitter gain according to said comparator output signal.
- A method for controlling transmission signal power in a cellular mobile telephone in communications with at least two cell-site 2 station using code division multiple access (CDMA) spread spectrum communication signals, said cellular mobile telephone having an antenna 4 coupled to a transmitter and a receiver, said transmitter for generating and transmitting a CDMA carrier signal according to an assigned spreading function modulated by a mobile user input information signal, said receiver for receiving CDMA communication signals from at least two of said cell-site stations and spread spectrum processing said received CDMA communication signals according to an assigned spreading function so as 10 to recover a mobile user output information signal intended for a user of said cellular mobile telephone, said from at least two of said cell-site 12 stations communicating power control commands in CDMA

- communication signals intended for said cellular mobile telephone with said receiver processing said received power control commands and
- 16 providing an output of said power control commands, said method comprising the steps of:
- 18 measuring combined signal power in contemporaneously received CDMA communication signals;
- varying transmitter signal power in opposite correspondence to variations in measured signal power about a predetermined power level;
- 22 and
- varying transmitter signal power in correspondence to received 24 power adjustment commands by, decreasing transmitter signal power when at least one of said at least two cell-site station transmitted power
- 26 adjustment commands as received at said receiver is indicative of a decrease in transmitter signal power, and increasing transmitter signal
- 28 power when all of said at least two cell-site transmitted power adjustment commands as received at said receiver are indicative of an increase in
- 30 transmitter signal power..
  - 18. The method of Claim 17 wherein said at least two cell-site stations measures signal power of said cellular mobile telephone transmitted CDMA communication signals and generates for
- 4 transmission said power adjustment commands indicative of one of an increase and a decrease in said cellular mobile telephone signal power so
- as to maintain a predetermined power level in CDMA communication signals from said cellular mobile telephone received by at least one of said
- 8 at least two cell-site stations.
- 19. The apparatus of Claim 17 wherein said step of measuring combined signal power in contemporaneously received CDMA communication signals further comprises the step of generating a
- 4 measurement signal indicative of measured signal power, and wherein said step of varying transmitter signal power in opposite correspondence
- to variations in measured signal power about a predetermined power level comprises the steps of:

18

adjustment signal.

- 40 generating a first power level set signal corresponding to a desired 8 cellular mobile telephone transmitter power level; 10 comparing said first power measurement signal with said first power level set signal; providing a comparator output signal corresponding to a difference 12 between said desired cellular mobile telephone transmitter power level 14 and said measured signal power; providing a corresponding limited comparator output signal; and varying signal power of said transmitter in response to said limited 16 comparator output signal. The apparatus of Claim 19 wherein said step of varying 20. transmitter signal power in correspondence to received power adjustment 2 commands comprises the steps of: processing said power adjustment commands so as to provide a 4 power increase power adjustment command if contemporaneously received power adjustment commands from all of said at least two cell-site 6 stations are indicative of an increase in transmitter signal power, and provide a power decrease power adjustment command if 8 contemporaneously received power adjustment commands from at least one of said at least two cell-site stations are indicative of an increase in 10 transmitter signal power; accumulating said power increase and power decrease power 12 adjustment commands with respect to a predetermined gain control level 14 setting; providing a power adjustment signal corresponding to said accumulation of said processed power adjustment commands with said 16 predetermined gain control level setting; and varying signal power of said transmitter in response to said power
  - In a cellular mobile telephone system in which system users communicate information signals between one another via a system 2 controller and through at least one cell-site using code division multiple access (CDMA) spread spectrum communication signals between said 4

WO 92/21196 PCT/US92/04161

41

cell-sites and mobile telephones, wherein each cell-site has a plurality of modules for communicating with mobile telephones in said cellular mobile telephone system and at least two cell-site modules of a cell-site are each in communication with a different mobile telephone, a power control system for adjusting cell-site module transmission signal power in response to a power adjustment request from a mobile telephone, wherein each mobile telephone has an antenna, transmitter and receiver and each cell-site has an antenna, at least one transmitter and at least one receiver, said power control system comprising:

means for determining transmission signal quality, at a mobile telephone for CDMA communication signals intended for said mobile telephone as transmitted from a cell-site module, with respect to a desired signal quality level, for generating a power adjustment request when said determined transmission signal quality is below said desired signal quality level, and for communicating said power adjustment request to said module;

means at said module for communicating said power adjustment request to a system controller;

22

26

28

30

32

means at said system controller responsive to said power 24 adjustment request for generating and communicating a power adjustment command to each module of said cell-site;

means at each cell-site module for receiving said power adjustment command, said cell-site module responsive thereto for increasing transmission signal power in CDMA communication signals to said mobile telephone by a predetermined increment, and each other cell-site module responsive thereto for reducing transmission signal power in CDMA communication signals as communicated therefrom to a corresponding mobile telephone in communication therewith by a predetermined increment.

- The power control system of Claim 21 wherein said cell-site
   module transmission signal power increase increment is on the order of about 1 dB and each other module transmission signal power decrease
- 4 increment is on the order of said module transmission signal power increase increment divided by n, where n is the number of other modules
- 6 in communication with a corresponding mobile telephone.
- 23. The power control system of Claim 21 wherein upon
   adjustment of said module and other module transmission power, the sum of cell-site module transmission power remains substantially the
   4 same.
- 24. The power control system of Claim 21 wherein said system
   2 controller communicates a control message via at least one of said cell-site modules to corresponding mobile telephones, each mobile telephone
- 4 means for determining transmission signal quality receiving said control message and responsive thereto for generating a signal quality response
- 6 message and communicating said signal quality response message to each corresponding module, said means at said module for communicating
- 8 also communicating said signal quality response message to said system controller, said system controller responsive to received signal quality
- 10 response messages for generating and communicating a second power adjustment command command to each module of said cell-site and
- wherein said means at each cell-site module for receiving said power adjustment command also receives said second power adjustment
- 14 command and is responsive thereto for adjusting transmission signal power in CDMA communication signals to each mobile telephone by a
- 16 predetermined increment.

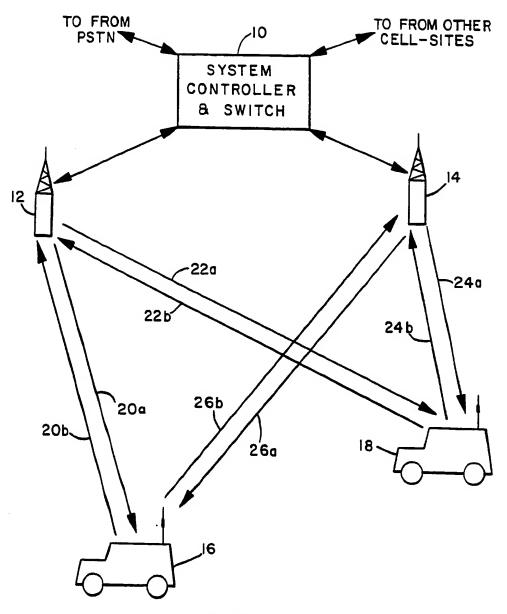


FIG. I

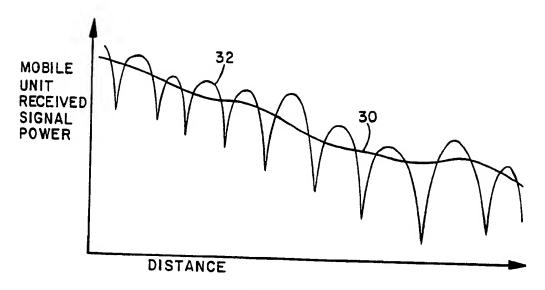


FIG. 2A

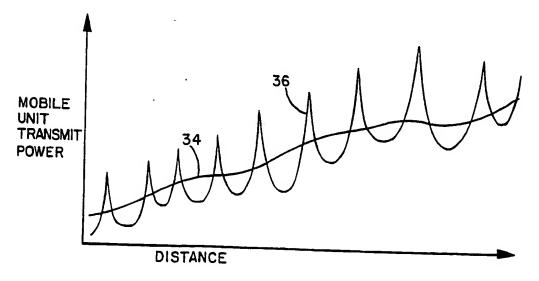
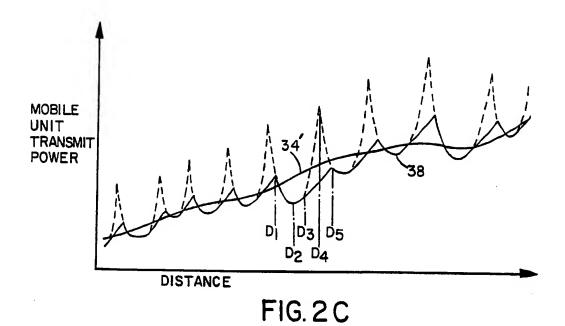
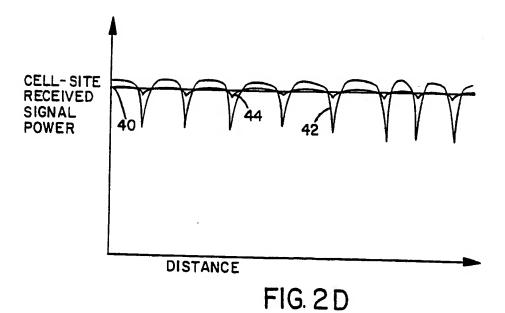
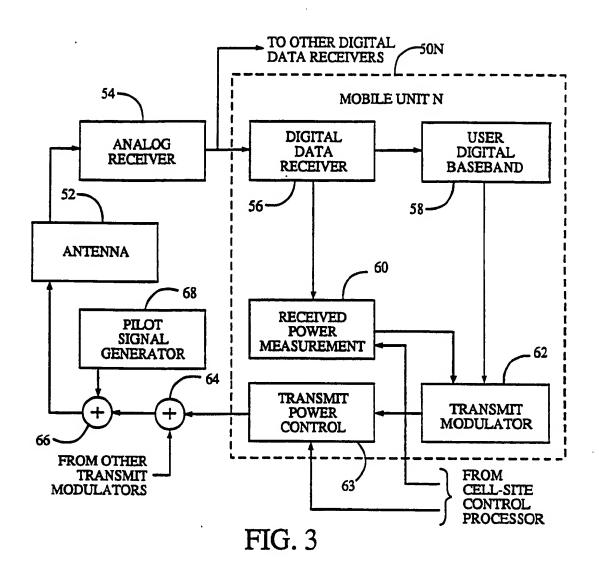


FIG. 2B







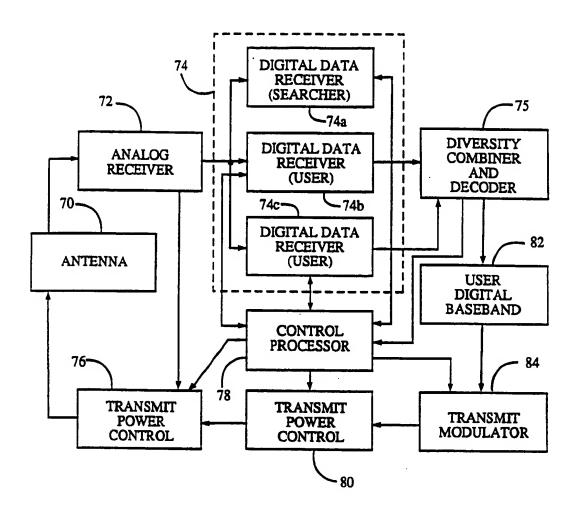
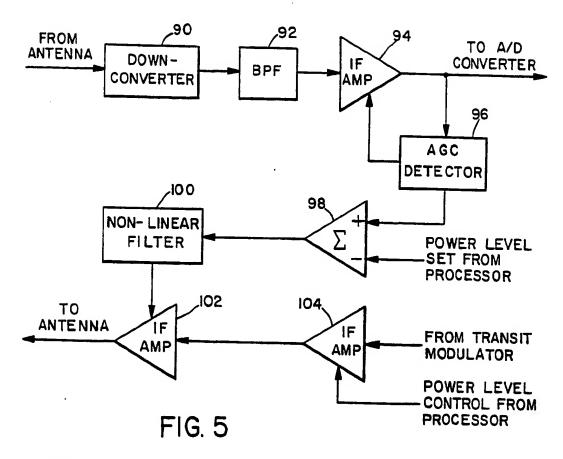
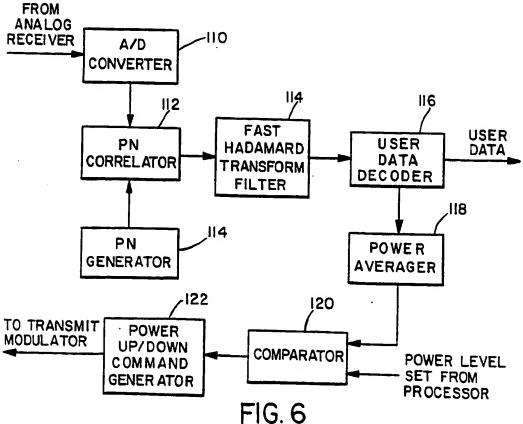


FIG. 4





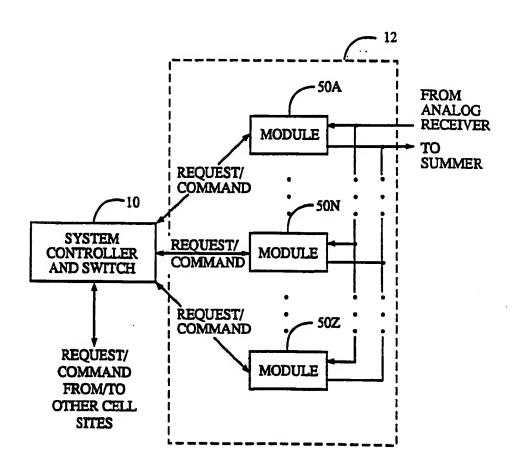


FIG. 7

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US92/04161

A. CLASSIFICATION OF SUBJECT MATTER  IPC(5) :H04L 27/30			
US CL :375/1 According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED			
Minimum documentation searched (classification system followed by classification symbols)			
U.S. : 380/34; 370/18,50; 379/58,59,60,63; 455/33.1, 54.1,59,69			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	Relevant to claim No.	
A	US, A, 4,222,115 (COOPER ET AL.) 09 September 1980.		1-24
A	US, A, 4,495,648 (GIGER) 22 January 1985.		1-24
A	US, A, 4,580,262 (NAYLOR ET AL.) 01 April 1986.		1-24
A	US, A, 4,811,421 (HAVEL ET AL.) 07 March 1989.		1-24
	·		
Further documents are listed in the continuation of Box C. See patent family annex.			
Special categories of cited documents:  "T"  Inter document published after the international filling date or priority date and not in conflict with the application but cited to understand the replication of the confliction of the conflictio			
to be part of particular relevance			
"E" cartier document published on or after the international filing date considered novel or cannot be considered.  "L" document which may throw doubts on priority claim(s) or which is when the document is taken alone		red to involve an inventive step	
cited to establish the publication date of another citation or other special remon (as specified)  "Y"  document of particular relevance; the			claimed invention cannot be
"O" document referring to an oral disclosure, use, exhibition or other combined with one or more other means being obvious to a person skilled in		documents, such combination	
*P* document published prior to the international filing date but later than *&* document member of the same patent family the priority date claimed			family
Date of the actual completion of the international search  Date of mailing of the international search report			
07 JULY 1992 27 JUL 1992			
Name and mailing address of the ISA/ Commissioner of Patents and Trademarks Box PCT  REPRIARE FARI GREGORY			
Box PCT	, D.C. 20231	BERNARR EARL GREGORY	down to
		Telephone No. (703) 308-0479	•